

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

UV-133 Cont. 6 Div.

APPLN. NO.

Not yet assigned

INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS

APPLICANTS

Gerald E. Bennington et al.

CONFIRMATION NO.

Not yet assigned

FILING DATE

Concurrently herewith

GROUP

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SEB	3,440,427	Apr-69	Kammer			
SEB	3,492,577	Jan-70	Reiter et al.			
SEB	3,493,674	Feb-70	Houghton.			
SEB	3,833,757	Sep-74	Kirk, Jr. et al.			
SEB	3,891,792	Jun-75	Kimura			
SEB	3,936,868	Feb-76	Thorpe			
SEB	3,996,583	Dec-76	Hutt et al.			
SEB	4,004,085	Jan-77	Makino et al.			
SEB	4,016,361	Apr-77	Pandey			
SEB	4,026,555	May-77	Kirschner et al.			
SEB	4,031,548	Jun-77	Kato et al.			
SEB	4,052,719	Oct-77	Hutt et al.			
SEB	4,079,419	Mar-78	Siegle et al.			
SEB	4,081,753	Mar-78	Miller			
SEB	4,081,754	Mar-78	Jackson			
SEB	4,096,524	Jun-78	Scott			
SEB	4,134,127	Jan-79	Campioni			
SEB	4,139,860	Feb-79	Micic et al.			
SEB	4,150,254	Apr-79	Shussler et al.			
SEB	4,156,850	May-79	Beyers, Jr.			
SEB	4,161,728	Jul-79	Insam			
SEB	4,162,513	Jul-79	Beyers, Jr. et al.			
SEB	4,170,782	Oct-79	Miller			
SEB	4,186,413	Jan-80	Mortimer			
SEB	4,203,130	May-80	Doumit			
SEB	4,205,343	May-80	Barrett			
SEB	4,218,698	Aug-80	Bart et al.			

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SEB	4,228,543	Oct-80	Jackson			
SEB	4,231,031	Oct-80	Crowther et al.			
SEB	4,233,628	Nov-80	Ciciora			
SEB	4,249,211	Feb-81	Baba et al.			
SEB	4,249,213	Feb-81	Imaide et al.			
SEB	4,261,006	Apr-81	Weintraub et al.			
SEB	4,264,924	Apr-81	Freeman			
SEB	4,270,145	May-81	Farina			
SEB	4,283,787	Aug-81	Chambers			
SEB	4,288,809	Sep-81	Yabe			
SEB	4,290,142	Sep-81	Schnee et al.			
SEB	4,305,101	Dec-81	Yarbrough et al.			
SEB	4,329,684	May-82	Monteath et al.			
SEB	4,337,480	Jun-82	Bourassin et al.			
SEB	4,337,483	Jun-82	Guillou			
SEB	4,344,090	Aug-82	Belisomi			
SEB	4,381,522	Apr-83	Lambert			
SEB	4,388,645	Jun-83	Cox et al.			
SEB	4,390,901	Jun-83	Keiser			
SEB	4,393,376	Jul-83	Thomas			
SEB	4,405,946	Sep-83	Knight			
SEB	4,412,244	Oct-83	Shanley II			
SEB	4,413,281	Nov-83	Thonnart			
SEB	4,420,769	Dec-83	Novak			
SEB	4,425,581	Jan-84	Schweppe			
SEB	4,429,385	Jan-84	Cichelli et al.			
SEB	4,449,249	May-84	Price			
SEB	4,466,017	Aug-84	Banker			
SEB	4,456,925	Jun-84	Skerlos			
SEB	4,477,830	Oct-84	Lindman et al.			
SEB	4,488,179	Dec-84	Kruger et al.			

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SEB	4,495,654	Jan-85	Deiss			
SEB	4,496,171	Jan-85	Cherry			
SEB	4,496,976	Jan-85	Swanson et al.			
SEB	4,510,623	Apr-85	Bonneau et al.			
SEB	4,523,228	Jun-85	Banker			
SEB	4,533,910	Aug-85	Sukonick et al.			
SEB	4,536,791	Aug-85	Campbell et al.			
SEB	4,547,804	Oct-85	Greenberg			
SEB	4,555,775	Nov-85	Pike			
SEB	4,566,034	Jan-86	Harger et al.			
SEB	4,573,072	Feb-86	Freeman			
SEB	4,587,520	May-86	Astle			
SEB	4,595,951	Jun-86	Filliman			
SEB	4,595,952	Jun-86	Filliman			
SEB	4,598,288	Jul-86	Yarbrough et al.			
SEB	4,620,229	Oct-86	Amano et al.			
SEB	4,622,545	Nov-86	Atkinson			
SEB	Re. 32,632	Mar-88	Atkinson			
SEB	4,635,121	Jan-87	Hoffman			
SEB	4,641,205	Feb-87	Beyers, Jr.			
SEB	4,677,466	Jun-87	Lert, Jr. et al.			
SEB	4,689,022	Aug-87	Peers et al.			
SEB	4,691,351	Sep-87	Hayashi et al.			
SEB	4,694,490	Sep-87	Harvey et al.			
SEB	4,701,794	Oct-87	Froling et al.			
SEB	4,706,121	Nov-87	Young			
SEB	4,712,105	Dec-87	Kohler			
SEB	4,748,618	May-88	Brown et al.			
SEB	4,750,036	Jun-88	Martinez			
SEB	4,751,578	Jun-88	Reiter et al.			
SEB	4,754,326	Jun-88	Kram et al.			

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SEB	4,768,228	Aug-88	Clupper et al.			
SEB	4,772,882	Sep-88	Mical			
SEB	4,775,935	Oct-88	Yourick			
SEB	4,785,408	Nov-88	Britton et al.			
SEB	4,787,063	Nov-88	Muguet			
SEB	4,812,834	Mar-89	Wells			
SEB	4,821,102	Apr-89	Ichikawa et al.			
SEB	4,829,558	May-89	Welsh			
SEB	4,847,604	Jul-89	Doyle			
SEB	4,847,700	Jul-89	Freeman			
SEB	4,862,268	Aug-89	Campbell et al.			
SEB	4,873,623	Oct-89	Lane et al.			
SEB	4,884,223	Nov-89	Ingle et al.			
SEB	4,890,320	Dec-89	Monslow et al.			
SEB	4,890,321	Dec-89	Seth-Smith			
SEB	4,894,789	Jan-90	Yee			
SEB	4,899,136	Feb-90	Beard et al.			
SEB	4,908,707	Mar-90	Kinghorn			
SEB	4,908,713	Mar-90	Levine			
SEB	4,914,517	Apr-90	Duffield			
SEB	4,914,732	Apr-90	Henderson et al.			
SEB	4,931,783	Jun-90	Atkinson			
SEB	4,935,865	Jun-90	Rowe et al.			
SEB	4,937,821	Jun-90	Boulton			
SEB	4,939,507	Jul-90	Beard et al.			
SEB	4,959,720	Sep-90	Duffield et al.			
SEB	4,963,994	Oct-90	Levine			
SEB	4,977,455	Dec-90	Young			
SEB	4,987,486	Jan-91	Johnson et al.			
SEB	4,991,011	Feb-91	Johnson et al.			
SEB	4,991,012	Feb-91	Yoshino			
SEB	4,995,078	Feb-91	Monslow et al.			

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SEB	5,008,853	Apr-91	Bly et al.			
SEB	5,014,125	May-91	Pocock et al.			
SEB	5,038,211	Aug-91	Hallenbeck			
SEB	5,045,947	Sep-91	Beery			
SEB	5,047,867	Sep-91	Strubbe et al.			
SEB	5,058,160	Oct-91	Banker et al.			
SEB	5,062,060	Oct-91	Kolnick			
SEB	5,068,734	Nov-91	Beery			
SEB	5,072,412	Dec-91	Henderson, Jr. et al.			
SEB	5,103,314	Apr-92	Keenan			
SEB	5,148,154	Sep-92	MackKay et al.			
SEB	5,151,782	Sep-92	Ferraro			
SEB	5,151,789	Sep-92	Young			
SEB	5,155,806	Oct-92	Hoeber et al.			
SEB	5,157,768	Oct-92	Hoeber et al.			
SEB	5,177,604	Jan-93	Martinez			
SEB	5,195,092	Mar-93	Wilson et al.			
SEB	5,206,722	Apr-93	Kwan			
SEB	Re. 34,340	Aug-93	Freeman			
SEB	5,210,611	May-93	Yee et al.			
SEB	5,214,622	May-93	Nemoto			
SEB	5,220,420	Jun-93	Hoarty et al.			
SEB	5,223,924	Jun-93	Strubbe			
SEB	5,231,493	Jul-93	Apitz			
SEB	5,235,415	Aug-93	Bonicel et al.			
SEB	5,236,199	Aug-93	Thompson, Jr.			
SEB	5,237,411	Aug-93	Fink et al.			
SEB	5,237,417	Aug-93	Hayashi et al.			
SEB	5,239,540	Aug-93	Rovira et al.			
SEB	5,247,364	Sep-93	Banker et al.			
SEB	5,247,347	Sep-93	Litteral et al.			
SEB	5,253,066	Oct-93	Vogel			

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5253,067	Oct-93	Chaney et al.			
5,283,819	Feb-94	Glick et al.			
5,323,240	Jun-94	Amano et al.			
5,335,277	Aug-94	Harvey et al.			
5,353,121	Oct-94	Young et al.			
5,357,276	Oct-94	Banker et al.			
5,367,316	Nov-94	Ikezaki			
5,382,983	Jan-95	Kwoh et al.			
5,387,945	Feb-95	Takeuchi			
5,404,393	Apr-95	Remillard			
5,410,326	Apr-95	Goldstein			
5,477,262	Dec-95	Banker et al.			
5,479,268	Dec-95	Young et al.			
5,485,221	Jan-96	Banker et al.			
5,528,304	Jun-96	Cherrick et al.			
5,532,754	Jul-96	Young et al.			
5,801,787	Sep-98	Schein et al.			
5,583,560	Dec-96	Florin et al.			
5,594,509	Jan-97	Florin et al.			
5,621,456	Apr-97	Florin et al.			
5,734,853	Mar-98	Hendricks et al.			
5,798,785	Aug-98	Hendricks et al.			
5,808,608	Sep-98	Young et al.			
5,959,688	Sep-99	Schein et al.			
5,990,927	Nov-99	Hendricks et al.			

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	2 034 995	Jun-80	GB			
	29 18 846	Nov-80	DE			
	58-137334	Aug-83	JP			
	58-196738	Nov-83	JP			
	2 126 002	Mar-84	GB			
	32 46 226	Jun-84	DE			
	59-141878	Aug-84	JP			
	3337204	Apr-85	DE			
	61-50470	Mar-86	JP			
	WO 86/01962	Mar-86	PCT			
	61-74476	Apr-86	JP			
	02-00384	Mar-87	JP			
	2185670	Jul-87	GB			
	WO 88/04507	Jun-88	PCT			
	3621263	Jul-88	DE			
	0 337 336	Oct-89	EP			
	WO 89/12370	Dec-89	PCT			
	WO 90/01243	Feb-90	PCT			
	2-48879	Feb-90	JP			
	0 393 555	Oct-90	EP			
	0 396 062	Nov-90	EP			
	0 401 930	Dec-90	EP			
	WO 90/15507	Dec-90	PCT			
	0 408 882	Jan-91	EP			
	0 420 123	Apr-91	EP			
	WO 91/18476	Nov-91	PCT			
	2 662 895	Dec-91	FR			
SEB	WO 92/04801	Mar-92	PCT			
	2 256 546	Dec-92	GB			
	0 632 322	Mar-93	EP			
	WO 93/05452	Mar-93	PCT			
	WO 93/11638	Jun-93	PCT			
	WO 93/11639	Jun-93	PCT			
	WO 93/11640	Jun-93	PCT			

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<u> </u>	James A., "Oracle--Broadcasting the Written Word," Wireless World, July 1973, pp. 314-318.
<u> </u>	McKenzie, G.A. "Oracle--An Information Broadcasting Service Using Data Transmission in the Vertical Interval," Journal of the SMPTE, Jan. 1974, pp. 6-10.
<u> </u>	Edwardson, S.M., & Gee, A., "CEEFAQ: A Proposed New Broadcasting Ser.," Journal of the SMPTE, July 1974, pp. 14-19.
<u> </u>	Hedger J., "Telesoftware: Home Computing Via Broadcast Teletext," IEEE Transactions on Consumer Electronics, vol. CE-25, No. 3, July 1979, pp. 279-287.
<u> </u>	Carna, E.B. "The Wired Household," IEEE Spectrum, Oct. 1979, pp. 61-66.
<u> </u>	Baer, R.H., "Innovative Add-On TV Products," IEEE Transactions on Consumer Electronics, vol. CE-25, Nov. 1979, pp. 765-771.
<u> </u>	Karstad, K., "Microprocessor Control for Color-TV Receivers," IEEE Transactions on Consumer Electronics, vol. CE-26, May 1980, pp. 149-155.
<u> </u>	"The New Media and Broadcast Policy: An Investigation & Research Conference Report on Broadcasting Diversification," Japan Ministry of Posts & Telecommunications, Mar. 1982.
<u> </u>	Videotext Programmirt Videorecorder, Rundfunktech Mitteilungen, Broadcast Engineering Reports, vol. 28, Nov.- Dec. 1982.
<u> </u>	Veith, R.H., "Television's Teletext," Elsevier Science Publishing Co., Inc., 1983, pp. 13-20, 41-51.
<u> </u>	"Learning Considerations In User Interface Design: The Room Model," Patrick P. Chan, publication of the Software Portability Laboratory, University of Waterloo, Waterloo, Ontario, Canada, July 1984.
<u> </u>	Damouny, N.G., "Teletext Decoders--Keeping Up With the Latest Advances," IEEE Transactions on Consumer Electronics, vol. CE-30, No. 3, Aug. 1984, pp. 429-435.
<u> </u>	"High Tech TV," Sealfon, Peggy, Photographic, Dec. 1984.
<u> </u>	D.J. Rayers, "Telesoftware by Teletext," 1984 IEEE Conf. Papers, vol. 240, p. 323.
<u> </u>	Lewenstein, R.L., and Alier, H.E., "Technology Review," vol. 88, Oct. 1985, p. 22.
<u> </u>	M/A-COM, Inc., "Videocipher II Satellite Descrambler Owner's Manual," dated prior to Feb. 1986.

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	Tech Notes: Product Updates from M/A-COM Cable Home Group, "Videocipher Owner's Manual Update," Issue No. 6, Feb. 1986.
	Japan Soc. of Data Comm., "Development Study and Research Report on New Media Interface Technology: Status & Issues Related to Combining Databases & New Media," Mar. 1986.
	CableVision Advertisement for "TV Decisions," Aug. 1986.
	Judice, C.N., "Move Over Cable, Here Comes Video Via Voice Lines," Network World, Sept. 1986, p. 26.
	Bureau of Science & Technology (Japan), "Computer Network: Current Status and Outlook on Leading Science and Technology," vol. 1, Dec. 1986.
	Merrell, "Tac Timer," 1986 NCTA Technical Papers, pp. 203-206.
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	Bensch, U., "VPV Videotext Programs Videorecorder," IEEE Paper, June 1988, pp. 788-792.
JTB	"Browsing Within Time-Driven Multimedia Documents," Stavros Chirstodoulakis, Stephen Graham; publication of the Institute for Computer Research, University of Waterloo, Waterloo, Ontario, Canada, Jul. 1988, pp. 219-227.
STB	Schlender, B.R., "Couch Potatoes! Now It's Smart TV," Fortune, Nov. 20, 1989, pp. 111-116.
	Selektor, No. 4, Apr. 1990, pages 10, 12, "D2B-Home Bus Für Audio and Video."
	Antenofs, M., "Stay Tuned for Smart TV," Popular Science, Nov. 1990, pp. 62-65.
STB	Jerrold Communications Publication, "Cable Television Equipment," dated 1992 and 1993, pp. 8-2.1 to 8-6 and 8-14.1 to 8-14.3.
STB	42nd Annual Convention and Exposition of the NCTA, Jun. 6, 1993, San Francisco, CA, pp. 223-236, Bestler, "Flexible Data Structure and Interface Rituals for Rapid Development of OSD Applications."
STB	Proceedings From The 42nd Annual Convention and Exposition of the NCTA, "Addressable Decoder with Downloadable Operation," June 6-9, 1993, pp. 82-89.

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	Brugliera, Vito, "Digital On-Screen Display-A New Technology for the Consumer Interface," Symposium Record Cable Sessions of the 18th International Television Symposium & Technical Exhibition, June 1993, pp. 571-586.
SEB	Florin et al., Prosecution History of U.S. Patent Application No. 08/082,081, filed June 22, 1993, including EZTV DEMO videotape.
	Florin et al., Prosecution History of U.S. Patent Application No. 08/082,056 (now U.S. Patent No. 5,621,456), filed June 22, 1993, and the references contained therein.
	Mannes, George, "Smart Screens: Development of Personal Navigation Systems for TV Viewers," Video Magazine, Dec. 1993.
SEB	Various publications of Insight Telecast, 1992 and 1993.
	Preview Guide Brochure, Spring 1994.
	Videocipher Stipulation, May 1996.
	Transcript of the Deposition of John Roop, Oct. 1996, pp. 186-187.
	Transcript of the Deposition of John Roop, June 2001, p. 608.
	Trial testimony of Mr. Michael F. Axford, Reporter's Transcript of Proceedings Had on May 9, 1998 for Prevue Interactive, Inc. and United Video Satellite Group, Inc. v. Starsight Telecast Inc., pp. 186-187, 296-315, and 352-357.
	Letter from Mr. Gerald Knapp of CableData to Rodney A. Hansen of Weststar Communications, Inc., bearing a date of March 19, 1985 (Plaintiff's Exhibit 325).
	Roseville City Council Presentation, bearing a date of March 13, 1985 (Defendant's Exhibit 226).
	Listing of computer code for operating system within the Cable Computer in 1985 (Plaintiff's Exhibit 298).
	Listing of computer code for Video HTU Program (Plaintiff's Exhibit 299).
	"Westar and Videotoken Network Present The Cable Computer" (Plaintiff's Exhibit 334).
	"Videotoken Network New Dimension Television" (Plaintiff's Exhibit 313).
	CableComputer User's Guide, bearing a date of December 1985 (Plaintiff's Exhibit 289).
	Videocassette of Mini Theatre (Plaintiff's Exhibit 327).
	"Creation/Modification of the Audio Signal Processor Setup for a PC Audio Editor," IBM Technical Disclosure Bulletin, Vol. 30, No. 10, Mar. 1988, pp. 367-376.

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		FILING DATE Concurrently herewith	GROUP Not yet assigned

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~~All references have been considered.~~

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